



SETTLED CASE FEE ADVANCE FUNDING APPLICATION

(All information will be held in strictest confidence and will not be shared or used for any purpose other than consideration of this application. Return via email to AdvancedLegalCapital@msn.com , or fax to 855-238-3299)

Law Firm Name: \_\_\_\_\_

Contact Name: \_\_\_\_\_

Office Phone: \_\_\_\_\_ Mobile Phone: \_\_\_\_\_

Email Address: \_\_\_\_\_ Website: \_\_\_\_\_

Main Office Address: \_\_\_\_\_

Firm Structure (i.e. corporation, partnership, LLC, Sole Prop, etc.): \_\_\_\_\_

Tax ID: \_\_\_\_\_ State of Incorporation: \_\_\_\_\_

Year Established: \_\_\_\_\_ Number of Partners \_\_\_ Associates: \_\_\_\_\_

List each equity partner and ownership %: \_\_\_\_\_

Complete Case Caption (or file name) of settled case: \_\_\_\_\_

Settlement amount: \$ \_\_\_\_\_ When was settlement reached? \_\_\_\_\_

Total attorney's fees in settled case: \$ \_\_\_\_\_ Your firm's net fee or share \$ \_\_\_\_\_

When is your firm's attorney fee in the settlement expected to be received? \_\_\_\_\_

What entity or insurance carrier is responsible for paying the settlement? \_\_\_\_\_

Does your firm have a retainer agreement signed by the client in the settled case? Yes  No  (Please attach).

Amount of Fee Advance requested: \$ \_\_\_\_\_ Date funds will be needed: \_\_\_\_\_

- Has the firm received any prior funding advances against its projected fee/costs in the above settled case? Yes  No  If yes, please describe: \_\_\_\_\_
• Are there any outstanding loans or lines of credit for which the fee in this case is included as security or collateral? Yes  No  If yes, please describe: \_\_\_\_\_
• Is there a co-counsel or fee-sharing agreement in effect in the settled case being offered as the basis for this advance? Yes  No  If yes, please specify fee shares: \_\_\_\_\_
• Are there any pending or existing claims, judgments or lawsuits against the firm or any of the firm's partners? Yes  No  If yes, please specify: \_\_\_\_\_
• Does firm currently owe any back taxes? Yes  No  If yes, specify total amount/year(s): \$ \_\_\_\_\_
• Has a partner or the firm ever filed bankruptcy? Yes  No  If yes, Partner  \_\_\_\_\_ or Firm  If yes, date of bankruptcy filing: \_\_\_\_\_ Date of discharge: \_\_\_\_\_
• Are all Partners/Members of the firm in good standing? Yes  No  If not, please explain: \_\_\_\_\_



ATTORNEY INFORMATION (Required for each equity partner)

Attorney Name: \_\_\_\_\_

Social Security #: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ Year admitted to Bar: \_\_\_\_\_ State(s): \_\_\_\_\_

Office Phone: \_\_\_\_\_ Mobile Phone: \_\_\_\_\_ Email: \_\_\_\_\_

Principal Areas of Practice: \_\_\_\_\_

Home Address: \_\_\_\_\_

How long have you lived at current address \_\_\_\_\_ ; at your prior address? \_\_\_\_\_

Do you personally owe taxes or have any claims, liens or judgments against you? Yes  No

If yes, to whom, how much, and for what year(s): \_\_\_\_\_

If taxes are owed, is there an installment agreement in place and current? Yes  No

To expedite approval and funding, please read attached instructions and attach the following:

- A brief summary of the settled case; documentation showing an agreement to settle the case has been reached and agreed to by all parties (correspondence, mediator's memo, email exchange, etc.); the complaint; signed settlement agreement/release (if available); client retainer agreement; client accounting; any fee-sharing agreement; calculation of estimated net fee; current procedural status of the case and any court actions required to finalize; names and policy limits of any insurance carriers involved; est. date of payment.

CONFIDENTIALITY/ COMMON INTEREST/NON-DISCLOSURE AGREEMENT

The applicant, Advanced Legal Capital, LLC, and affiliated investors, lenders and underwriters, acknowledge and agree that the provision of case information by the applicant will not waive or diminish in any way the confidentiality of said case information or its continued protection under the attorney-client privilege or the work product doctrine. Case information shall remain subject to protection under the common interest doctrine and the above recipients will treat all of the case information as confidential information of the above applicant and will not disclose any of the case information to any third party without the prior written consent of the applicant.

APPLICANT SIGNATURE AND ACKNOWLEDGEMENT:

The undersigned specifically represents to Advanced Legal Capital and its affiliated funders and investors that: (1) the information provided in this application and attachments is true and correct as of the date set forth and that any intentional or negligent misrepresentation contained in this application may result in civil liability, including monetary damages, to any person who may suffer any loss due to reliance upon any misrepresentation that I have made on this application, and/or in criminal penalties; (2) the Funder and its agents, brokers, insurers, servicers, successors, and assigns may continuously rely on the information contained in the application, and I am obligated to amend and/or supplement the information provided in this application if any of the material facts that I have represented herein should change prior to funding; and (3) my transmission of this application as an "electronic record" containing my "electronic signature," as those terms are defined in applicable federal and/or state laws, or my facsimile transmission of this application containing a facsimile of my signature, shall be as effective, enforceable and valid as if a paper version of this application were delivered containing my original written signature. I hereby authorize and consent to have Advanced Legal Capital LLC and affiliated investors and lenders, including \_\_\_\_\_, their agents, representatives and/or employees, perform any and all necessary searches to investigate and evaluate this application and for future requests, including, but not limited to, background checks, credit checks, and any type of search relating to my financial status or that of the firm. I further represent that, on behalf of the law firm and myself, I am authorized to consent to this process.

Signed: \_\_\_\_\_

Date: \_\_\_\_\_

Print name: \_\_\_\_\_



## **Supporting Documentation for Settled Case Fee Advance Application**

In addition to the completed application, please provide the following information and documents regarding the settled case in order to expedite underwriting, approval, and funding. (This is a generic, suggested list, and not all items listed may be applicable to your settlement.)

- A brief summary of the settled case (i.e. the complaint, demand letter, mediation memo or a paragraph that briefly describes the case);
- Documentation showing that an agreement to settle the case for the specified amount has been reached and agreed to by all parties/counsel (i.e., confirmation correspondence from defense counsel; email exchange with defense counsel, carrier or adjuster confirming the settlement; mediator's memo if case settled at mediation; agreed notice of settlement filed with the court, etc.);
- The signed settlement agreement;
- Signed release(s) (if available), or final draft of release if not yet signed;
- Retainer agreement signed by the client showing the firm's fee percentage in the settled case;
- Names of any insurance carriers involved and/or all entities that will be responsible for paying all or part of the settlement;
- Client settlement accounting (if prepared);
- If applicable, any fee-sharing agreement with any co-counsel or referral counsel, and calculation of your firm's estimated net fee in the settled case;
- Current procedural status of the settled case and any court actions that will be required to finalize the settlement and trigger payment;
- Projected date of actual payment of the settlement and receipt of your fee.

A completed application form and supporting documents should be sent via email attachments to **AdvancedLegalCapital@msn.com**. All communications are kept in strictest confidence and all underwriting is conducted by licensed attorneys.

### **Advanced Legal Capital, LLC**

Email: [AdvancedLegalCapital@msn.com](mailto:AdvancedLegalCapital@msn.com)

Toll-free: **855-253-3863** (8 am to 4 pm Pacific)

Fax: 855-238-3299

Website: [AdvancedLegalCapital.com](http://AdvancedLegalCapital.com)