



**LAW FIRM CASE PORTFOLIO FUNDING APPLICATION**

*(All information provided will be held in strictest confidence and will not be shared with any other party or used for any purpose other than the consideration of this application)*

**FIRM INFORMATION**

Law Firm Name: \_\_\_\_\_

Attorney Contact Name(s): \_\_\_\_\_ Email: \_\_\_\_\_

Office Phone: \_\_\_\_\_ Fax: \_\_\_\_\_ Mobile: \_\_\_\_\_

Main Office Address: Street \_\_\_\_\_  
 City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Firm Structure (S-Corp., C-Corp., Partnership, or Sole Prop.): \_\_\_\_\_

Tax ID: \_\_\_\_\_ State of Incorporation: \_\_\_\_\_

Year Established: \_\_\_\_\_ Number of Partners/Associates: \_\_\_\_/\_\_\_\_

Equity Partners: Please list each equity partner's ownership percentage:

<u>Name:</u>	<u>% Ownership:</u>	<u>Ownership Date:</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**Total Amount(s) requested:** \$ \_\_\_\_\_

**FIRM FINANCIAL INFORMATION**

	2014*	2015*	2016 *	2017 YTD**	2018**
Total Net Fee Revenue:					

	2014*	2015*	2016 *	2017 YTD**	2018**
Net Profit or Loss:					

*\*Per Financial Statements*

*\*\*Provide Estimated/Projected*

- Does the firm owe any taxes? Yes  No  If yes, to whom: \_\_\_\_\_ Amount: \$ \_\_\_\_\_
- What accounting software does the firm use? \_\_\_\_\_
- How much has the firm advanced for case costs? \_\_\_\_\_
- Does the firm have any existing debt or lines of credit (including to/from partners or family)? Yes  No 
  - Maximum loan amount \$ \_\_\_\_\_ Current balance \$ \_\_\_\_\_ Monthly payment: \$ \_\_\_\_\_
  - Lender name: \_\_\_\_\_ Maturity date: \_\_\_\_\_
  - Secured by the firm's receivables/fees? \_\_\_\_\_ UCC-1 lien filed against the firm? \_\_\_\_\_
- Has the firm ever obtained financing or funding based upon its fees or receivables? Yes  No 
  - If yes, please provide details and status: \_\_\_\_\_



**ATTORNEY INFORMATION** (This section required for each equity partner of firm)

Attorney Name: \_\_\_\_\_ SSN: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

Home Address:

Street \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Do you own your home? Yes  No  If yes: Individually  or Jointly

If renting, please indicate monthly rent: \$ \_\_\_\_\_

How long have you lived at this address? \_\_\_\_\_ years. At prior address: \_\_\_\_\_ years.

Office Phone: \_\_\_\_\_ Ext. \_\_\_\_\_ Mobile Phone: \_\_\_\_\_

Year Admitted to Bar: \_\_\_\_\_ State(s): \_\_\_\_\_

Principal areas of practice: \_\_\_\_\_

Are you in good standing with the bar? Yes  No

If no, please explain\*: \_\_\_\_\_

Have disciplinary actions ever been filed against you? Yes  No

If yes, please describe\*: \_\_\_\_\_

Do you owe taxes or have any pending claims or judgments against you? Yes  No

If yes, to whom, how much, and for what year(s)\*: \_\_\_\_\_

Have you ever filed for bankruptcy? Yes  No

If yes, Filed date: \_\_\_\_\_ Discharge date: \_\_\_\_\_

Have you personally ever received funding or financing based upon your contingency fees? Yes  No

If yes, please provide details: \_\_\_\_\_

*\*Feel free to use separate sheets if additional space is necessary*

<b><u>Checklist of Required Supporting Documents</u></b>	
<b>Please submit the following items. Use this checklist to ensure complete application and faster processing:</b>	
Case list (with case types, case values, est. net fees, estimated settlement dates)	<input type="checkbox"/>
2014, 2015 and 2016 (if filed) firm tax returns (forms 1120, 1120S, 1065 or 1040)	<input type="checkbox"/>
Firm Profit and Loss and Balance Sheets: year end 12/31/14 and 12/31/15, YTD.	<input type="checkbox"/>



**AUTHORIZATION AND ACKNOWLEDGEMENT**

**BORROWER ACKNOWLEDGEMENT:**

Each of the undersigned represents to Advanced Legal Capital LLC, and its affiliated lenders, including \_\_\_\_\_ that: (1) the information provided in this application is true and correct as of the date set forth and that any intentional or negligent misrepresentation of this information contained in this application may result in civil liability, including monetary damages to any person who may suffer any loss due to reliance upon such information, and/or in criminal penalties, (2) the Lender, its servicers, successors or assigns may retain the original and/or an electronic record of this application, whether or not the Loan is approved; (3) the Lender and its agents, brokers, insurers, servicers, successors, and assigns may continuously rely on the information contained in the application, and I am obligated to amend and/or supplement the information provided in this application if any of the material facts should change prior to closing of the Loan; and (4) my transmission of this application as an "electronic record" containing my "electronic signature," as those terms are defined in applicable federal and/or state laws, or my facsimile transmission of this application containing a facsimile of my signature, shall be as effective, enforceable and valid as if a paper version of this application were delivered containing my original written signature.

Signed: \_\_\_\_\_ Date: \_\_\_\_\_  
Print Name: \_\_\_\_\_

**BORROWER CONSENT FORM:**

I hereby authorize and consent to have Advanced Legal Capital LLC and affiliated investors and lenders, including \_\_\_\_\_, their agents, representatives and/or employees, perform any and all necessary searches to investigate and evaluate this application for a Loan and for future Loan requests, including, but not limited to, background checks, credit checks, and any type of search relating to my financial status or that of the firm. I further represent that, on behalf of the law firm and myself, I am authorized to consent to this investigation and enter into a Loan Agreement with Lender.

Signed: \_\_\_\_\_ Date: \_\_\_\_\_  
Print Name: \_\_\_\_\_

**CONFIDENTIALITY, COMMON INTEREST, AND NON-DISCLOSURE**

The applicant, Advanced Legal Capital, LLC, and its affiliated investors, lenders and underwriters, including \_\_\_\_\_, acknowledge and agree that the provision of case information by the applicant will not waive or diminish in any way the confidentiality of the case information or its continued protection under the attorney-client privilege or the work product doctrine. The case information shall remain subject to protection under the common interest doctrine and the above recipients will treat all of the case information as confidential information of the above applicant and will not disclose any of the case information to any third party without the prior written consent of the above applicant.