SETTLED CASE FEE ADVANCE FINANCING APPLICATION

All information will be held in strictest confidence and will not be shared or used for any other purpose. Submit via email to apply@AdvancedLegalCapital.com. **PLEASE COMPLETE ALL FIELDS.**

Law Firm Name: _____

Contact Name: _____

Office Phone: _____ Mobile Phone: _____

Email Address: _____ Firm Website: _____

Address:_____City_____St___ Zip_____

Firm Structure (i.e. type of entity --corporation, partnership, LLC, Sole Prop, etc.): _____

Tax ID: _____ State of Incorporation:_____

Year Established:_____ Number of Partners____Associates:_____

List each equity partner and ownership %_____

_____

Complete Case Caption (or file name) of settled case:

_____

Settlement amount: $_____When was settlement reached? _____

Total attorney's fees in settled case: $_____

Your firm's net fee or share $_____

When is your firm's attorney fee in the settlement expected to be received?_____

What entity or insurance carrier is responsible for paying the settlement?_____

Does your firm have a retainer agreement signed by the client in the settled case? Yes ☐ (Attach) No ☐

Amount of Fee Advance requested: $ _____ Date funds will be needed:_____

- Has the firm received any prior funding advances against its projected fee/costs in the above settled case? Yes ☐ No ☐ If yes, please describe:_____
- Are there any outstanding loans or lines of credit for which the fee in this case is included as security or collateral? Yes ☐ No ☐ If yes, please describe:_____
- Is there a co-counsel or fee-sharing agreement in effect in the settled case being offered as the basis for this advance? Yes ☐ No ☐ If yes, please specify shares:
- Are there any pending or existing claims, judgments or lawsuits against the firm or any of the firm's partners? Yes ☐ No ☐ If yes, please specify:_____
- Does **firm** owe any back taxes? Yes ☐ No ☐ If yes, specify total amount/year(s): $ _____
- Has a partner or the firm ever filed bankruptcy? Yes ☐ No ☐ If yes, Partner_____ or Firm ☐
- If yes, date of bankruptcy filing: _____ Date of discharge: _____
- Are all Partners/Members of the firm in good standing? Yes ☐ No ☐
  If not, please explain: _____

Please note: the firm's portfolio of other pending cases and projected fees may be reviewed during underwriting and included as back up collateral in the event payment of the settlement does not occur when anticipated.

ATTORNEY INFORMATION (Required for each equity partner)

Attorney Name:_____D.O.B._____

Social Security #:_____-_____-_____ Year admitted to Bar: _____ State(s):_____

Office Phone: _____ Mobile Phone:_____Email:_____

Principal Areas of Practice: _____

Home Address:_____

How long have you lived at current address _____ ; at your prior address? _____

Do you **personally** owe taxes or have any claims, liens or judgments against you? Yes ☐ No ☐

If yes, to whom, how much, and for what year(s): _____

If taxes are owed, is there an installment agreement in place and current? Yes ☐ No ☐

To expedite approval and funding, read attached Supporting Documentation checklist (next page).

CONFIDENTIALITY/ COMMON INTEREST/NON-DISCLOSURE AGREEMENT: The applicant, Advanced Legal Capital, LLC, and affiliated investors, lenders and underwriters, acknowledge and agree that the provision of case information by the applicant will not waive or diminish in any way the confidentiality of said case information or its continued protection under the attorney-client privilege or the work product doctrine. Case information shall remain subject to protection under the common interest doctrine and the above recipients will treat all of the case information as confidential information of the above applicant and will not disclose any of the case information to any third party without the prior written consent of the applicant.

APPLICANT SIGNATURE AND ACKNOWLEDGEMENT:
The undersigned specifically represents to Advanced Legal Capital and its affiliated funders and investors that: (1) the information provided in this application and attachments is true and correct as of the date set forth and that any intentional or negligent misrepresentation contained in this application may result in civil liability, including monetary damages, to any person who may suffer any loss due to reliance upon any misrepresentation that I have made on this application, and/or in criminal penalties; (2) the Funder and its agents, brokers, insurers, servicers, successors, and assigns may continuously rely on the information contained in the application, and I am obligated to amend and/or supplement the information provided in this application if any of the material facts that I have represented herein should change prior to funding; and (3) my transmission of this application as an "electronic record" containing my "electronic signature," as those terms are defined in applicable federal and/or state laws, or my facsimile transmission of this application containing a facsimile of my signature, shall be as effective, enforceable and valid as if a paper version of this application were delivered containing my original written signature. I hereby authorize and consent to have Advanced Legal Capital LLC and affiliated investors and lenders, including _____, their agents, representatives and/or employees, perform any and all necessary searches to investigate and evaluate this application and for future requests, including, but not limited to, background checks, credit checks, and any type of search relating to my financial status or that of the firm. I further represent that, on behalf of the law firm and myself, I am authorized to consent to this process.

Signed:_____ **Date:**

Print Name: _____

TO SUBMIT APPLICATION FORM
Completed form can be saved using the "**SAVE FORM**" button below, or printed using the "**PRINT FORM**" button, and sent as an email attachment to: apply@AdvancedLegalCapital.com. (If using Adobe Acrobat linked to a default email account, you may be able to submit form directly via email using the "**SUBMIT FORM**" button.) Please see attached supporting document checklist.

SAVE FORM PRINT FORM SUBMIT FORM if opened in Adobe Acrobat linked to default email account

Supporting Documentation Checklist for Settled Case Fee Advance Application

In addition to the completed application, please provide the following information and documents regarding the settled case in order to expedite underwriting, approval, and funding. (Please note that this is a generic, suggested list, and not all items listed may be applicable to your particular case or settlement.)

☐ A brief summary of the nature and basic facts of the settled case (i.e. the complaint, demand letter, mediation memo, or a paragraph that briefly describes the facts and nature of the case);

☐ Documentation showing that an agreement to settle the case for the specified amount has been reached and agreed to by all parties and their counsel (i.e., confirmation correspondence from defense counsel; email exchange with defense counsel, carrier or adjuster confirming the settlement; mediator's memo if case settled at mediation; agreed notice of settlement filed with the court, etc.);

☐ The signed settlement agreement signed by your client and the defendant and their counsel;

☐ Signed release(s) (if available), or final draft of release if not yet signed;

☐ Retainer agreement signed by the client showing your firm's fee percentage in the settled ca

☐ Names of any insurance carriers involved and/or all entities that will be responsible for paying all or part of the settlement;

☐ Client settlement accounting (if prepared);

☐ If applicable, any fee-sharing agreement with any co-counsel or referral counsel, and calculation of your firm's estimated net fee in the settled case;

☐ Current procedural status of the settled case and any court actions that will be required to finalize the settlement and trigger payment;

☐ Projected date of actual payment of the settlement and receipt of your fee.

Completed application and supporting documents listed above should be sent as email attachments to apply@AdvancedLegalCapital.com. All communications are kept in strictest confidence and all underwriting is conducted by licensed attorneys.

ADVANCED LEGAL CAPITAL
Email: apply@AdvancedLegalCapital.com
Toll-free: 855-253-3863 (9 am to 3 pm Pacific)
Website: AdvancedLegalCapital.com